#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: Michael TUCCI; Philip URUBURU; and Stephen VESELASKI

Patent No.: 8,029,296

Issued: October 4, 2011

Application No.: 09/899,776

Filed: July 5, 2001

For:

CARBON FIBER ELECTRICAL CONTACTS FORMED OF COMPOSITE CARBON

FIBER MATERIAL

This correspondence is being transmitted by facsimile transmission and/or EFS-Web to the U.S. Patent and Trademark Office.

Paul Teng Reg. No. 40,837 18, 201)

30 Rockefeller Plaza, 20<sup>th</sup> Floor New York, New York 10112

Tel.: (212) 278-0400

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

ATTN.: Certificate of Correction Branch

SIR:

#### REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. §1.322

Please find a Patent Office form PTO-1050, attached hereto as **Exhibit A**, indicating errors to be corrected in the above-identified patent.

The errors being corrected, as indicated on the enclosed form PTO-1050, are as follows.

The patent incorrectly omits that this patent claims the benefit of, and is a continuation-in-part of, U.S. Application No. 09/498,872 filed February 7, 2000, now U.S. Patent No. 6,444,102.

Patentees respectfully request that the Commissioner issue a Certificate of Correction under 37 C.F.R. §1.322 which provides for the correction of "a mistake in a patent, incurred through the fault of the Office, which mistake is clearly disclosed in the records of the Office."

Patentees maintain that the above-mentioned mistakes are clearly disclosed in the records of

Dkt. 6845/59951-Z

the Patent and Trademark Office.

Attached hereto as Exhibits B and C are a copy of the Declaration And Power Of Attorney submitted with this application on July 5, 2001, and a copy of the official Filing Receipt dated September 16, 2011, respectively. Each of Exhibits B and C correctly indicates that this application is a continuation-in-part of U.S. Application No. 09/498,872 filed February 7, 2000.

Therefore, Patentees maintain that the error appearing on the cover page of the patent was a mistake incurred through the fault of the Patent Office and is clearly disclosed in the records of the Patent Office.

Accordingly, Patentees respectfully request that a Certificate of Correction be issued by the Patent Office.

No fee is deemed necessary in connection with the filing of this Request for a Certificate of Correction Under 37 C.F.R. §1.322. However, if any fee is deemed necessary, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

If a Petition is required to effect correction of the above-mentioned mistakes in the patent, please deem this Request to be such a Petition.

Respectfully submitted,

PAUL TENG, RegaNo. 40,837

Attorney for Applicant

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Tel.: (212) 278-0400

# **EXHIBIT A**

to

### REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. §1.322

U.S. Patent No. 8,029,296 (U.S. Application No. 09/899,776)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO : 8,029,296

APPLICATION NO: 09/899,776

ISSUEDATE : October 4, 2011

INVENTOR(S) : Michael TUCCI; Philip URUBURU; and

Stephen VESELASKI

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Insert the following Domestic Priority Application Data on the cover page of the patent:

--This application is a continuation-in-part of U.S. Application No. 09/498,872, filed February 7, 2000, now U.S. Patent No. 6,444,102--

#### MAILING ADDRESS OF SENDER:

COOPER & DUNHAM LLP 30 Rockefeller Plaza, 20<sup>th</sup> Floor New York, New York 10112

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# **EXHIBIT B**

to

## REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. §1,322

U.S. Patent No. 8,029,296 (U.S. Application No. 09/899,776)

#### DECLARATION AND POWER OF ATTORNEY FOR CONTINUATION-IN-PART PATENT APPLICATION

As below-named inventors, we hereby declare that:

Our residence, post office address, and citizenship are as stated below next to our names, we believe we are the original, first and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled CARBON FIBER ELECTRICAL CONTACTS FORMED OR COMPOSITE CARBON FIBER MATERIAL, the specification of which is attached hereto.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, and that we believe a patent on the claimed subject matter is not barred under any provisions of Title 35, United States Codes \$102; that this application in part discloses and claims subject matter disclosed in our earlier-filed pending application, Serial No. 09/498,872, filed February 7, 2000, and is a continuation in part thereof;

That, as to the subject matter of this application which is common to said earlier application, we do not know and do not believe the same was ever known or used in the United States before our invention thereof or patented or described in any printed publication in any country before our invention thereof or more than one year prior to said earlier application, or in public use or on sale in the United States more than one year prior to said earlier application; that said common subject matter has not been patented or made the subject of an inventor's certificate before the date of said earlier application in any country foreign to the United States on an application filed by us or our representatives assigns more than twelve months prior to said application; and that no application for patent or inventor's certificate on said common subject matter has been filed by us or our representatives or assigns in any country foreign to the United States.

That, as to the subject matter of this application which is not common to said earlier application Serial No. 09/498,872 filed February 7, 2000, we do not know and do not believe that the same was ever known or used in the United States before our invention thereof or patented or described in any printed publication in any country before our invention thereof or more than one year prior to the date of this application, or in public use or on sale in the United States more than one year prior to the date of this application; and that said subject matter which is not common to said earlier application has not been patented or made the subject of an inventor's certificate in any country foreign to the United States on an application filed by us or our legal representatives or assigns more than twelve months prior to the date of this application; and that no application for patent or inventor's certificate on said invention has been filed by us or our representatives or assigns in any country foreign to the United States.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a) including material information that occurred between the filing date of February 7, 2000 and the filing date of this application.

And we hereby appoint JAY H. MAIOLI, Reg. No. 27,213, whose post office address is Cooper & Dunham, 1185 Avenue of the Americas. New York, New York 10036, or his duly appointed associates, our attorney, with full power of substitution and revocation, to prosecute this application, to make alterations and continuation file and divisional therein, to amendments applications thereof, to receive the Letters Patent, and to transact all business in the Patent and Trademark Office in connection therewith, and specify that communications about the application are to be directed to the following correspondence address:

#### Jay H. Maioli c/o COOPER & DUNHAM 1185 Avenue of the Americas New York, NY 10036

and that all telephone calls be directed to Jay H. Maioli (212) 278-0400.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 in the United States Code, and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

Inventor <u>Michael</u>	Tucci		
Inventor's Signature	Middle 1 1		
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# **EXHIBIT C**

to

## REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. §1.322

U.S. Patent No. 8,029,296 (U.S. Application No. 09/899,776)



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450

P.C. Box 1450 Alexandria, Vinginia 22313-1450 www.nanto.gov

 APPLICATION NUMBER
 FILING or 371(c) DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY DOCKET NO
 TOT CLAIMS IND CLAIMS

 09/899,776
 07/05/2001
 2833
 1170
 6845/59951-Z
 15
 5

23432 COOPER & DUNHAM, LLP 30 Rockefeller Plaza 20th Floor NEW YORK, NY 10112 CONFIRMATION NO. 2359
CORRECTED FILING RECEIPT



Date Mailed: 09/16/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Michael Tucci, New York, NY; Philip Uruburu, Central Islip, NY; Stephen Veselaski, Bay Shore, NY;

Power of Attorney: The patent practitioners associated with Customer Number 23432

#### Domestic Priority data as claimed by applicant

This application is a CIP of 09/498,872 02/07/2000 PAT 6,444,102

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

#### If Required, Foreign Filing License Granted: 08/23/2001

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 09/899.776** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

#### Title

CARBON FIBER ELECTRICAL CONTACTS FORMED OF COMPOSITE CARBON FIBER MATERIAL

#### **Preliminary Class**

200

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).